

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al.

Art Unit : Unknown

Serial No.: 10/826,920

Examiner: Unknown

Filed

: April 19, 2004

Title

: AN APPARATUS FOR FORMING A FILM AND AN

**ELECTROLUMINESCENCE DEVICE** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SECOND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the names on the Filing Receipt under Power of Attorney to read as follows:

John F. Hayden, Reg. No. 37,640 W. Karl Renner, Reg. No. 41,265 William G. Hughes, Jr., Reg. No. 46,112 Brian J. Dorini, Reg. No. 43,594

Barbara A. Benoit, Reg. No. 54,777

Joseph F. Key, Reg. No. 44,827 Gregory A. Walters, Reg. No. 41,366 Robert G. Devoto, Reg. No. 55,108 Kevin E. Greene, Reg. No. 46,031 Diana DiBerardino, Reg. No. 45,653

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

John F. Hayden

Reg. No. 37,640

Fish & Richardson P.C. 1425 K Street, N.W.

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ART UNIT

2871

FIL FEE REC'D

1432

UNITED STATES DEPARTMENT OF COMMERCE United States Patret and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Can 1430 Alexandra, Vapris 22313-1450

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26171 FISH & RICHARDSON P.C. 1425 K STREET, N.W. 11TH FLOOR **WASHINGTON, DC 20005-3500** 

APPL NO.

10/826.920

FILING OR 371

(c) DATE

04/19/2004

**CONFIRMATION NO. 1020 CORRECTED FILING RECEIPT** \*OC000000014276259\*

Date Mailed: 11/03/2004

28

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

12732-228001 / US7116

Applicant(s)

Shunpei Yamazaki, Tokyo, JAPAN; Hideaki Kuwabara, Isehara, JAPAN:

Power of Attorney:

John Hayden-37640 Walter Renner-41265 Gregory Walters-41366 Joseph Key-44827 Diana DiBerardino-45653 William Hughes Jr-46112 Robert G. Deroto-55,128 Brian J. Dorini - 43,594 Barbara A. Benoit - 54,777 Kerin E. Greene-46,031

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2003-121313 04/25/2003

If Required, Foreign Filing License Granted: 06/26/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/826,920

Projected Publication Date: 01/13/2005

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Non-Publication Request: No

Early Publication Request: No

Title

Apparatus for forming a film and an electroluminescence device

**Preliminary Class** 

349

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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# Declaration and Power of Attorney For Patent Application 特許出願宣言書及び委任状

# Japanese Language Declaration 日本語宜言書

下記の氏名の発明者として、私は以下の通り宣言します。	As a below named inventor, I hereby declare that:
私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。	My residence, post office address and citizenship are as stated next to my name.
下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者(下記の氏名が一つの場合)もしくは最初かつ共同発明者であると(下記の名称が複数の場合)信じています。	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
	AN APPARATUS FOR FORMING A FILM AND AN ELECTROLUMINESCENCE DEVICE
上記発明の明細書(下記の欄で×印がついていない場合は、本書に添付)は、	The specification of which is attached hereto unless the following box is checked:
□月日に提出され、米国出願番号または特許協定条約 国際出願番号を とし、(該当する場合)に訂正されました。	was filed onas United States Application Number or PCT International Application Number and was amended on(if applicable).
私は、特許請求範囲を含む上記訂正後の明細書を検討し、 内容を理解していることをここに表明します。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.



# Japanese Language Declaration (日本語宜言書)

私は、米国法典第 35 編 119 条(a)・(d)項又は 365 条(b)項に 基き下記の、米国以外の国の少なくとも一カ国を指定している 特許協力条約 365(a)項に基く国際出願、又は外国での特許 出願もしくは発明者証の出願についての外国優先権をここに 主張するとともに、優先権を主張している、本出願の前に出願 された特許または発明者証の外国出願を以下に、枠内をマー クすることで、示しています。 I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s) 外国での先行出願			Priority Not Claimed 優先権主張なし
	 (Country) (国名)	April 25, 2003 (Day/Month/Year Filed) (出願年月日)	
(Number)	(Country)	(Day/Month/Year Filed)	_ 0
(番号)	(国名)	(出願年月日)	
(Number)	(Country)	(Day/Month/Year Filed)	
· · · (番号)	(国名)	(出願年月日)	
私は、第 35 編米国法典 119 条( 特許出願規定に記載された権利をこ	e)項に基いて下記の米国 こに主張いたします。	I hereby claim the benefit und Code, Section 119(e) of any application(s) listed below.	
(Application No.)	(Filing Date)	(Application No.)	(Filing Date)
(出願番号)	(出願日)	(出願番号)	(出願日)

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基く権利をここに主張します。また、本出願の各請求範囲の内容が米国法典代35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出顧に開示されていない限り、その先行米国出願提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規制法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

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(Application No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)
(出願番号)	(出願日)	(現況: 特許許可済、継続中、放棄済)
(Application No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)
(出願番号)	(出願日)	(現況: 特許許可済、継続中、放棄済)
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(出願番号)	(出願日)	(現況: 特許許可済、継続中、放棄済)

私は、私自身の知識に基いて本宣言書中で私が行う表明が 真実であり、かつ私の入手した情報と私の信じるところに基く 表明が全て真実であると信じていること、さらに故意になされた 虚偽の表明及びそれと同等の行為は米国法典第 18 編第 1001 条に基き、罰金または拘禁、もしくはその両方により処罰 されること、そしてそのような故意による虚偽の声明を行えば、 出願した、又は既に許可された特許の有効性が失われること を認識し、よってここに上記のごとく宣誓を致します。 I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



# Japanese Language Declaration (日本語宜言書)

続きを米特許商標局に対して遂行する弁護士または代理人と して、下記の者を指名いたします。(弁護士、または代理人の 氏名及び登録番号を明記のこと)

委任状: 私は下記の発明者として、本出願に関する一切の手 POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

John F. Hayden, Reg. No. 37,640; Scott C. Harris, Reg. No. 32,030; Michael McKeon, Reg. No. 37,888; Linda Liu Kordziel, Reg. No. 39,732; William E. Booth, Reg. No. 28,933; Ruffin B. Cordell, Reg. No. 33,487; John W. Freeman, Reg. No. 29,066; Timothy A. French, Reg. No. 30,175; G. Roger Lee, Reg. No. 28,963; John B. Pegram, Reg. No. 25,198; James E. Mrose, Reg. No. 33,264; Lauren A. Degnan, Reg. No. 40,584; Andrew F. Bodendorf, Reg. No. 39,537; Diana DiBerardino, Reg. No. 45,653;

W. Karl Renner, Reg. No. 41,265; Joseph F. Key, Reg. No. 44,827; Harold H. Fox, Reg. No. 41,498; Scott R. Boalick, Reg. No. 42,337; Joseph V. Colaianni, Jr., Reg. No. 39,948; Gregory A. Walters, Reg. No. 41,366; R. Whitney Winston, Reg. No. 44,432; Bing Ai, Reg. No. 43,312; N. Thane Bauz, Reg. No. 41,604; Gregory P. Einhorn, Reg. No. 38,440; Diane L. Gardner, Reg. No. 36,518; Kenyon S. Jenckes, Reg. No. 41,873; Christopher S. Marchese, Reg. No. 37,177; Todd G. Miller, Reg. No. 42,003; and Shekhar Vyas, Reg. No. 46,166.

ここに署名する者は、この申請に関して米国特許商標局にお いてなされるべき如何なる行動に関しても、ここに指名された 米国弁護士または代理人が、米国弁護士または代理人とここ に署名した者との間で直接の連絡を取ることなしに、 からの指示を受け入れ

The undersigned hereby authorizes any U.S. attorney or agent named herein to accept and follow instructions from as to any action to be

てそれに従う権限を与える。指示を出す人物に変更がある場 合は、ここに指名された米国弁護士または代理人は、ここに署 名した者からその旨通知を受ける。

taken in the Patent and Trademark Office regarding this application without direct communication between the U. S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys or agents named herein will be so notified by the undersigned.

書類送付先

Send Correspondence to:

John F. Hayden

FISH & RICHARDSON P.C. 1425 K Street, N.W., 11th Floor Washington, DC 20005

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

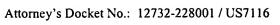
John F. Hayden telephone number (202) 783-5070 OLE 0.2 MM THE

唯一または第一発明者は	名	Full name of sole or first inventor
		Shunpei YAMAZAKI
発明者の署名	日付	Inventor's signature Date  Sha Gash 03/3//2004
住所		Residence /
		Setagaya, Tokyo, Japan
国籍		Citizenship
		Japanese
私書箱		Post Office Address c/o Semiconductor Energy Laboratory Co., Ltd. 398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan

第二共同発明者名	Full name of second joint inventor, if any Hideaki KUWABARA
第二共同発明者の署名 日付	Second inventor's signature Date  1 Sideaki Kurebera 63/30/204
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私書箱	Post Office Address c/o Semiconductor Energy Laboratory Co., Ltd. 398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan

第三共同発明者名	Full name of third joint inventor, if any
第三共同発明者の署名 日付	Third inventor's signature Date
住所	Residence
国籍	Citizenship
私書箱	Post Office Address







## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al.

Art Unit :

2871

Serial No.: 10/826,920

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Unknown

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: April 19, 2004

Confirmation No.:

1020

Title

: AN APPARATUS FOR FORMING A FILM AND AN

ELECTROLUMINESCENCE DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# COMBINED STATEMENT RE POWER OF ATTORNEY AND ASSOCIATE POWER

Under 37 CFR 1.32(c)(3), please associate and recognize, as my associates, the following Patent Practitioners as Attorney/Agents of record in the above-identified application with full powers.

John F. Hayden, Reg. No. 37,640

W. Karl Renner, Reg. No. 41,265

William G. Hughes, Jr., Reg. No. 46,112

Brian J. Dorini, Reg. No. 43,594

Barbara A. Benoit, Reg. No. 54,777

Joseph F. Key, Reg. No. 44,827

Gregory A. Walters, Reg. No. 41,366

Robert G. Devoto, Reg. No. 55,108

Kevin E. Greene, Reg. No. 46,031

Diana DiBerardino, Reg. No. 45,653

A copy of the signed Power of Attorney, as filed in the parent application, for the aboveidentified application is attached.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

12/2/04 Date:

Jobn F. Hayden Reg. No. 37,640

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